



# Varying “Constants” in Cosmology and Astrophysics and . . .

## Review and work in progress

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## Introduction

### Astrophysical measurements from spectral lines

Alpha

$$\mu \equiv m_p/m_e$$

Other quantities

### Oklo and nuclear physics

### Atomic clocks

### Theoretical questions

Unification relations

Spacetime dependence and WEP violation

### Cosmology

CMB

BBN

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### Main references:

1. J.-P. Uzan, Rev.Mod.Phys. 75 (2003) 403 [hep-ph/0205340], also astro-ph/0409424
2. Springer Lecture Notes 648 (2004) “*Astrophysics, Clocks and Fundamental Constants*”, ed. Karshenboim and Peik (see astro-ph/0310318)
3. K.A. Bronnikov and S.A. Kononogov, gr-qc/0604002
4. C.M. Müller, G. Schäfer and C. Wetterich, “*Nucleosynthesis and the variation of fundamental couplings*”, astro-ph/0405373



## Motivation

New physics can arise from examining basic assumptions of existing theory ...

- Space and time a fixed background (Newton)  
⇒ dynamical spacetime (Einstein)
- Vacuum is inert and empty space ⇒ Vacuum fluctuates (QFT) and has quantum numbers (Higgs mechanism) and dynamical condensates (QCD)
- Vacuum gravitates ( $\Lambda$ )?
- ... evolves over time – “cosmon”, quintessence?

Measuring different fundamental constants at different points in spacetime breaks Einstein equivalence principle (Local Position Invariance)

Doing physics with “varying constants”:

1. Look for signals and set limits
2. Look for related effects (WEP violation)
3. A nonzero signal can rule out unified theories, test models of quintessence. ...



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## Prelude: atomic and molecular transitions

Relative measurements of different transitions provide direct and accurate measurements of dimensionless constants

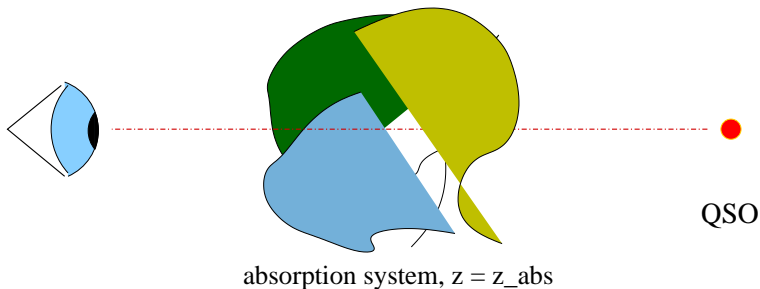
Transition		Energy scaling
Atomic	Gross structure	Ry
	Fine structure	$\alpha^2$ Ry
	Hyperfine structure	$\alpha^2(\mu/\mu_B)$ Ry
Molecular	Electronic structure	Ry
	Vibrational structure	$(m_e/m_p)^{1/2}$ Ry
	Rotational structure	$(m_e/m_p)$ Ry
Relativistic corrections		Function of $\alpha^2$

(from Karshenboim & Peik 2004)

- ★  $\alpha^2$ Ry and smaller scales accessible to optical/IR spectroscopy
- ★ Vibro/rotational structure may be accessible depending on redshift



## Alpha: measurement methods



$$\omega_z = \omega_0 + q \left[ \left( \frac{\alpha_z}{\alpha} \right)^2 - 1 \right]$$

“Many-multiplet” method: different species with different  $q$  coefficients enhance sensitivity (Murphy et al., [astro-ph/0209488](#))

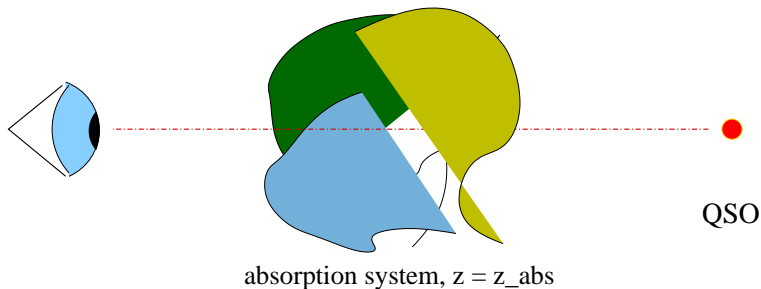
Most recent result, 143 systems ([astro-ph/0310318](#))

$$\frac{\Delta\alpha}{\alpha} = (-0.57 \pm 0.11) \cdot 10^{-5}, \quad 0.2 < z_{abs} < 4.2$$





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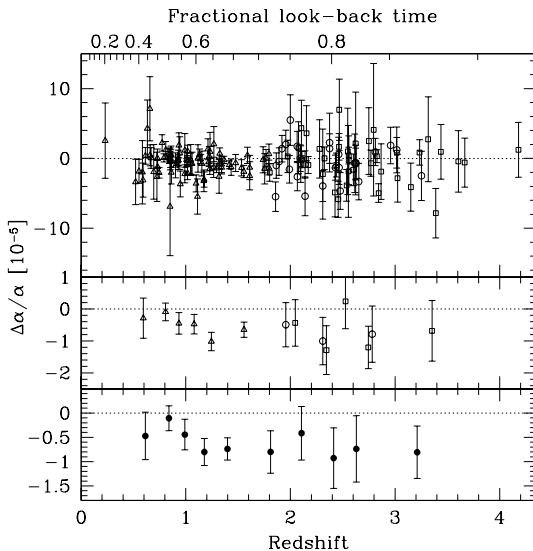
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## Alpha data



More spectra still being analyzed...



## Other results on alpha

$$\frac{\Delta\alpha}{\alpha} = (0.15 \pm 0.43) \cdot 10^{-5}, \quad 1.6 \leq z \leq 2.9 \quad \text{Chand et al. 2004}$$

$$\frac{\Delta\alpha}{\alpha} = (0.4 \pm 1.5) \cdot 10^{-6}, \quad z_{abs} = 1.84, 1.15 \quad \text{Levshakov et al. 2004}$$

Levshakov et al. ([astro-ph/0511765](https://arxiv.org/abs/astro-ph/0511765)) claim errors below  $10^{-6}$  for a single absorption system – but throw away 2 measurements out of 36 ( $> 3\sigma$  out)

Absorption systems are complicated, fitting & analysis methods still under debate.



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## A new $\mu$ ?

$$\mu \equiv \frac{m_p}{m_e}$$

Method uses vibro-rotational transitions of molecular hydrogen  $\text{H}_2$  (Lyman/Werner bands) with different dependences on the reduced mass (Varshalovich 1993, 1995)

$$2002 : \frac{\delta\mu}{\mu} = (5.0 \pm 1.8) \cdot 10^{-5}, z_{abs} = 3.02 \quad \text{Ivanchik et al.}$$

$$2005 : \frac{\delta\mu}{\mu} = (3.05 \pm 0.75) \cdot 10^{-5} \text{ (A)}, (1.65 \pm 0.74) \cdot 10^{-5} \text{ (B)} \quad \text{Ivanchik et al.}$$

(A) and (B) use two different sets of laboratory wavelengths.

Difficult to measure in the extreme UV...

With new lab measurements:

$$\frac{\delta\mu}{\mu} = (2.4 \pm 0.6) \cdot 10^{-5}, z_{abs} = 3.02, 2.59 \quad \text{Reinhold et al., PRL 2006}$$



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## Other dimensionless constants

$$\star \quad y \equiv \alpha^2 g_p$$

$$(\mu_p = g_p e / 4m_p)$$

Probe by comparing 21cm H I line and molecular rotation

$$\frac{\Delta y}{y} = (-0.20 \pm 0.44) \cdot 10^{-5} (z = 0.247), (-0.16 \pm 0.54) \cdot 10^{-5} (z = 0.685)$$

Murphy et al. 2001

$$\star \quad x \equiv \alpha^2 g_p \mu^{-1}$$

Compare UV heavy element transitions with H I line

$$\frac{\Delta x}{x} = (1.17 \pm 1.01) \cdot 10^{-5}, 0.24 \leq z_{abs} \leq 2.04$$

Tzanavaris et al. 2005

If slow cosmological evolution of  $\alpha$  and  $\mu$  is assumed, this is consistent with negative  $\Delta \ln \alpha$  and positive  $\Delta \ln \mu \dots$





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## Oklo natural nuclear reactor

2 billion years ago ( $z = 0.1-0.15$ ) naturally enriched uranium in a rock formation with a water moderator. . .

Resulting isotopic ratios in rock samples differ radically from any other terrestrial material

### Samarium

Consider ratio  $^{149}\text{Sm}/^{147}\text{Sm}$  : normally 0.9, measured at about 0.02 in Oklo sample

Resonant neutron capture



Today  $E_{r,0} = 97.3 \text{ meV}$  with a width of  $\simeq 60 \text{ meV}$

Resonance energy arises from  $\langle H_c + H_n \rangle$  where  $H_c \propto \alpha$

$$\alpha \frac{d}{d\alpha} \langle H_c \rangle \simeq 1 \text{ MeV}$$

Neutron fluence and spectrum: estimate from other isotopes e.g.



cross-section has no sharp resonances, depends weakly on  $\alpha$



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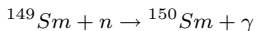
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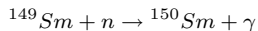
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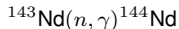


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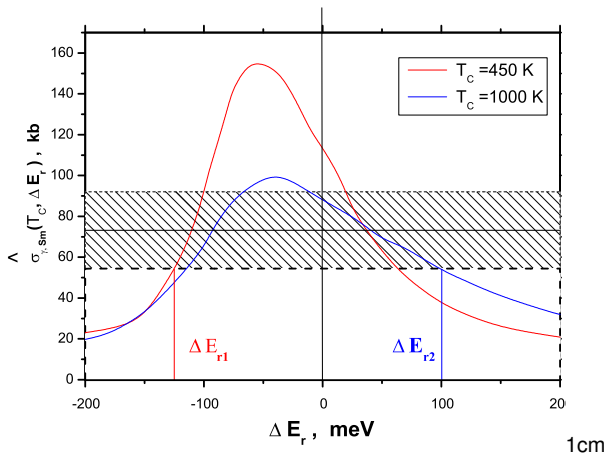
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## Oklo bound



Most recent bound

$$-5.6 \times 10^{-8} < \Delta\alpha/\alpha < 6 \times 10^{-8}, \quad \dot{\alpha}/\alpha \leq 3.5 \times 10^{-17} \text{ y}^{-1}$$

Petrov et al. [hep-ph/0506186](https://arxiv.org/abs/hep-ph/0506186), see also Damour & Dyson 1996



## Interpreting Oklo, other nuclear physics bounds

Nuclear physics parameters  $m_n, m_\pi, \dots$  may also vary!

Can we calculate dependence of  $\langle H_n \rangle$  from first principles (QCD, quark masses)?

No

- use phenomenological models *e.g.* Walecka model
- only order-of-magnitude estimates of dependence

$$\Delta \ln \frac{m_\pi}{\Lambda_{\text{QCD}}} = 0.5 \Delta \ln \frac{m_q}{\Lambda_{\text{QCD}}} \leq 7 \times 10^{-10} \quad \text{Flambaum \& Shuryak 2002}$$

compare

$$\Delta \ln \frac{m_q}{\Lambda_{\text{QCD}}} \leq \text{few} \times 10^{-8} \quad \text{Olive et al. 2002}$$

Also use beta decays *e.g.*  $^{187}\text{Re}$

$$\Gamma_{187} \propto Q_\beta^3 \propto \alpha^{2 \times 10^4}$$

compare with less sensitive  $\text{U} \rightarrow \text{Pb}$  in meteorites of similar age:

$$-2.4 \times 10^{-6} < \frac{\Delta \alpha}{\alpha} < 0.8 \times 10^{-6}, \quad t = 4.6 \text{ Gyr}$$



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## Atomic clocks

Absolute frequency standard:  $^{133}\text{Cs}$  ground state hyperfine transition

Measure some other transition in the lab over years  $\Rightarrow$   
bound on fundamental “constant” variations (up to variation of  $\mu_{\text{Cs}}$ )

### Example

- Atomic hydrogen 1S-2S transition  $\nu_H \propto \text{Ry}$
- Mercury electric quadrupole transition  $\nu_{\text{Hg}} \propto \text{Ry}\alpha^{-3.2}$
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Eliminate  $\mu_{\text{Cs}}$  to obtain  $\dot{\alpha}/\alpha = (-0.9 \pm 2.9) \cdot 10^{-15} \text{y}^{-1}$  Fischer et al. PRL 2004

Peik et al. (PRL 2004) use single  $\text{Yb}^+$  ion to obtain limit

$$\dot{\alpha}/\alpha < 2.0 \times 10^{-15} \text{y}^{-1} (1\sigma)$$



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## Unification relations between alpha, mu, ...

Unified theories ought to predict low-energy parameters

$$\alpha, \sin^2 \theta_W, \Lambda_{\text{QCD}}, m_l, m_q, G_F \dots$$

⇒ functional relations between  $\alpha, \mu, \dots$

Calmet & Fritsch, Langacker et al., TD & Fairbairn 2001

Example (Varying unified coupling)

GUT scale  $M_U$ , unified coupling  $\alpha_U$

$$\frac{\Delta \alpha}{\alpha} \simeq 0.5 \frac{\Delta \alpha_U}{\alpha_U}$$

$$\frac{\Lambda_{\text{QCD}}}{M} \propto e^{-2\pi/9\alpha_3(M)} \left( \frac{m_c m_b m_t}{M^3} \right)^{2/27} \times \dots$$

to lowest order:

$$\Delta \ln \frac{m_p}{M_U} \simeq 17 \Delta \ln \alpha_U$$

Define  $R \equiv \Delta \ln \mu / \Delta \ln \alpha$

$$\Rightarrow R \simeq 34??$$



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## Mass generation and thresholds

What about **mass generation**: how is the hierarchy explained?

$$\frac{\langle \phi \rangle}{M_U}, \frac{m_e}{m_t}$$

Both technicolor and hidden sector SUSY-breaking use dynamical scale

$$\frac{\langle \phi \rangle}{M_U} = \exp(2\pi/b_h \alpha_h(M_U)) \times \text{polynomial}$$

if  $\alpha_h$  varies with  $\alpha_U$  then

$$\Delta \ln \frac{\langle \phi \rangle}{M_U} \simeq 34 \Delta \ln \alpha_U \simeq 68 \Delta \ln \alpha$$

- Variation of  $m_e$
- Threshold effects in running of  $\alpha_3$  and  $\alpha_{em}$
- Light quark mass contributions to  $m_p$
- Superpartner thresholds  $\tilde{m}_i$  important in SUSY-GUT

TD, 2003

SUSY-GUT with varying  $\tilde{m}_i$ :  $R = 4 \pm 5$



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## Spacetime dependence of variations

Explicit violation of Poincaré invariance (LPI) difficult to understand

Replace by “spontaneous” violation: cosmologically varying scalar “Cosmon”  $\varphi(x, t)$

Dimensionless “constants” are functions of  $\varphi$

General theoretical framework: introduce action

$$\int d^4x \mathcal{L}(g_{\mu\nu}, \varphi, \text{matter})$$

try to solve for  $\varphi(x, t)$

Questions:

- Does variation of  $\varphi$  inside virialized systems track cosmological evolution?  
(Yes! Wetterich 2002, Shaw & Barrow 2005)
- Does the value of  $\varphi$  differ in different environments?  
(Yes – but not much!)
- Does  $\varphi(t)$  vary monotonically or oscillate? (Fujii 2003)
- What drives the variation? (potential  $V(\varphi)$ , coupling to matter, ...)
- What is the mass of the field?
- Does the field have other effects?





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Explicit violation of Poincaré invariance (LPI) difficult to understand

Replace by “spontaneous” violation: cosmologically varying scalar “Cosmon”  $\varphi(x, t)$

Dimensionless “constants” are functions of  $\varphi$

General theoretical framework: introduce action

$$\int d^4x \mathcal{L}(g_{\mu\nu}, \varphi, \text{matter})$$

try to solve for  $\varphi(x, t)$

Questions:

- Does variation of  $\varphi$  inside virialized systems track cosmological evolution?  
(Yes! Wetterich 2002, Shaw & Barrow 2005)
- Does the value of  $\varphi$  differ in different environments?  
(Yes – but not much!)
- Does  $\varphi(t)$  vary monotonically or oscillate? (Fujii 2003)
- What drives the variation? (potential  $V(\varphi)$ , coupling to matter, ...)
- What is the mass of the field?
- Does the field have other effects?



## WEP

Light scalar coupled to electromagnetic energy mediates **composition-dependent force**

$$\mathcal{L} = m_N(\varphi)\bar{N}N + \dots, \quad m_{p,n} = m_{N,\text{QCD}} + B_{p,n}(\alpha_0 + \lambda\varphi)$$

Find  $\varphi$ -mediated force between Earth and 1 proton or neutron

Proton fraction  $f_p$  is 0.456 for Cu, 0.385 for U  $\Rightarrow$  **differential acceleration**

$$\eta \equiv 2 \left| \frac{a_1 - a_2}{a_1 + a_2} \right| \propto \frac{\lambda^2}{m_N^2} (\Delta f_n B_n + \Delta f_p B_p)$$

**Current limit  $\eta \leq 10^{-13}$**  (Eöt-Wash experiment)

If  $\Delta\varphi$  is the source of  $\Delta\alpha$  then  $\lambda > 10^{-7} \Rightarrow$

**STEP will see a signal ( $\eta \geq 10^{-18}$ )** (Dvali & Zaldarriaga 2001)

In general  $\varphi$  also couples to QCD, fermion masses  $\Rightarrow$  Earth's  $\varphi$  field may be 2 orders of magnitude larger (Wetterich 2002)

... MICROSCOPE  $\eta \geq 10^{-15}$

Also find direct relations between  $\Omega_\varphi, w_\varphi, \partial_t \alpha \dots$  today (TD, in preparation)



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## Cosmology: CMB

$\alpha$  affects CMB through Thomson scattering, recombination history

$$0.95 < \frac{\alpha_{\text{CMB}}}{\alpha_0} < 1.02 (1\sigma), z \sim 10^3 \quad (\text{Martins et al. 2004})$$

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## Cosmology: BBN ( $z \sim 10^{10}$ )

1. Simple treatment: All neutrons end up in  ${}^4\text{He} \Rightarrow$  Helium abundance  $Y_p$

$$Y_p = 2 \frac{(n/p)_f e^{-t_N/\tau}}{1 + (n/p)_f e^{-t_N/\tau}}$$

- $(n/p)_f = e^{-Q/T_f}$ : freezeout of weak interactions, compare  $\Gamma(n \leftrightarrow p)$  with  $H$
- $t_N$ : “nucleosynthesis time”, compare  $T$  with deuterium binding energy  $B_d$
- $\tau$ : neutron lifetime

Already depends on every fundamental force (electroweak, strong, gravitational)

2. More sophisticated semi-analytic treatment (Müller, Schäfer, Wetterich 2004)

$$\frac{\Delta Y_p}{Y_p} = \sum_i c_i \frac{\Delta X_i}{X_i}$$

for 6 “fundamental parameters”  $X_i$

parameter $X_i$	$M_P$	$\alpha$	$\langle\phi\rangle$	$m_e$	$m_q$	$\Delta m$
$c_i$	-0.81	1.9	3.4	0.39	-1.59	-5.36

$m_q \equiv (m_d + m_u)/2$ ,  $\Delta m \equiv m_d - m_u$ ,  $\Lambda_{\text{QCD}}$  is constant unit of energy





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## BBN: current project

### Selected previous work:

- Variation of other abundances ( $^4\text{He}$ ,  $^7\text{Li}$ , D) with  $\alpha$ , with numerical code  
Bergström et al. 1999, Nollett & Lopez 2002  $\Rightarrow$  bounds at 2–3% level
- Variation of all light elements ( $^4\text{He}$ ,  $^3\text{He}$ ,  $^7\text{Li}$ ,  $^6\text{Li}$ , D) with  $\alpha$  and  $\langle\phi\rangle$ , semi-analytic approach:  
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Dependence of  $B_d$  and nuclear reaction rates on  $m_q$  is a complex and (in general) unsolved problem!

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Many methods exist to investigate EEP and constancy of fundamental “constants”:

- Astrophysical spectra
- Nuclear reactions and decays
- Atomic clocks
- Cosmology
- WEP violation

Unlikely source of new physics, but huge implications of any positive result

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